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Mismatch negativity at acute and post-acute phases of first-episode schizophrenia

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Abstract The objective of this study was to evaluate the mismatch negativity (MMN) in patients with first-episode schizophrenia at acute and post-acute phases in order to determine the contribution of trait and/or state features to MMN disturbances in schizophrenia. Subject groups comprised 30 patients with first-episode schizophrenia at the acute phase and 34 healthy controls. Ten patients were neuroleptic-naïve during testing at the acute phase. Twenty-one patients were retested at the post-acute phase when their symptoms improved. All patients were taking antipsychotic medication at the post-acute retest session. MMN amplitude of the patients at acute phase did not differ from controls. However, MMN amplitude at post-acute phase was reduced compared to both controls and acute phase. Similar results were obtained when the analyses were confined to neuroleptic-naïve patients. The sensory memory functions indexed by MMN seem to be unaffected at the onset of schizophrenia but deteriorated during the post-acute illness phase. MMN reduction at the post-acute phase might be emerged from antipsychotic medication.

Key words schizophrenia · first-episode · mismatch negativity · acute phase · post-acute phase · longitudinal

Introduction

Mismatch negativity (MMN) is a preattentive auditory event-related potential (ERP) elicited when a sequence of repetitive sounds is interrupted by a deviant sound [27]. Naatanen et al. [26] proposed that MMN is generated by a mismatch between the physical features of a deviant stimulus and a neuronal sensory-memory trace produced by repetitive standard stimuli. MMN has been elicited by changes in frequency [34], duration [28], intensity [27], location [32] and tone sequences [38].

Deficits in MMN generation represent a robust finding in chronic schizophrenia indicating a deficit in context-dependent information processing at the level of auditory sensory cortex [8, 13, 14, 24, 35, 39, 40, 47, 48]. MMN to duration deviants is consistently reduced in chronic schizophrenia however, some studies of frequency deviants failed to detect reductions [19, 24, 30].

The MMN abnormalities in chronic schizophrenia may be due to ongoing chronic process, antipsychotic medication and/or hospitalization. Therefore, it is of considerable interest whether the MMN reduction is a trait marker of schizophrenia and present at onset or occurs secondary to chronicity. Michie et al. [25] reported reduced MMN amplitude in unaffected first-degree relatives of patients with schizophrenia suggesting that it is an endophenotype marker of vulnerability to schizophrenia. On the other hand, Brockhaus-Dumke et al. [7] studied the prodromal subjects and showed a slight, though non-significant reduction in MMN amplitude that was intermediate between controls and schizophrenia patients. Investigating schizophrenia patients during or close to their first episode is another approach to understand whether MMN reduction is a trait feature. Only a few studies have investigated patients during those periods. Outpatients with recent-onset schizophrenia who were within 3 years of their first episode showed marginal reductions in MMN

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across both frequency- and duration-deviant conditions [17]. Umbricht et al. [44] also reported reduced frequency and duration MMN in patients with recent-onset schizophrenia whose mean duration of illness was 3.5 years. However, the MMN to frequency [35, 44] and duration deviances [44] were not reduced in patients with first-episode schizophrenia (FES).

Most of the studies did not find a relation between the MMN amplitude and clinical symptomatology [48]. Only a few studies reported correlations between MMN amplitude and ratings of hallucinations [37, 50] or negative symptoms [8, 16, 37]. Studies investigating the effects of medication also could not demonstrate any effect of typical or atypical antipsychotics on MMN despite symptomatic improvement of patients [36, 45, 46].

The aim of this study was to investigate MMN and its relation with clinical features in FES. Patients were evaluated on two occasions, initially during the acute phase of the illness and secondly during the post-acute phase when their positive symptoms improved in order to determine the contribution of trait and/or state features to possible disturbances in MMN. In light of previous studies we hypothesized that MMN amplitude of FES patients at the acute phase would not be different from controls. Moreover, since no change was reported in MMN due to antipsychotics in chronic patients we assumed that at the post-acute phase it would not be also different from controls. A group of our FES patients were neuroleptic-naïve during the initial evaluation which gave us the chance to eliminate the possible effects of antipsychotics on MMN. To our knowledge, MMN has not been reported before in neuroleptic-naïve patients with FES.

Methods

Subjects

Subjects consisted of 30 inpatients with FES (25 paranoid, 3 catatonic, 1 undifferentiated, and 1 disorganized; 15 women) and 34 healthy controls (15 women). The groups did not differ in age, gender and education level. First-episode patients were tested on two occasions, initially during the acute phase of the illness and secondly during the post-acute phase when their positive symptoms improved (being rated 3 or below on all items of the BPRS positive subscale for at least 2 weeks). Only 21 patients could be tested during the post-acute phase. The inter-test interval ranged from 1 to 9 months (mean \pm SD, 2.53 ± 2.28 months). Subject demographic characteristics are presented in Table 1. Patients were diagnosed for schizophrenia at a consensus meeting incorporating clinical, and Structured Clinical Interview for DSM-IV (SCID) data [11]. All SCID interviews were made by a trained senior interviewer (AU). Controls were screened by using the Structured Clinical Interview for DSM-III-R-Non-Patient Edition, by trained interviewers. Exclusion criteria for patients included any organic disorder known to cause psychosis or cognitive impairment and alcohol/drug abuse.

The patient was accepted in his/her first psychotic episode if all following conditions were fulfilled: no past diagnosis of nonaffective possible psychosis; no previous antipsychotic treatment and inpatient care. The date of onset of the first identifiable positive symptoms was timed by the senior psychiatrist in research team on the basis of a best-estimate approach using data gathered from multiple sources including medical records, a direct patient, and family interview. We

Table 1 Demographic and clinical data

	Acute phase (n = 30)	Acute phase (n = 21)	Post-acute phase (n = 21)	Controls (n = 34)
Gender (F/M)	15/15	12/9		15/19
Age (years)	22.1 (5.7)	21.6 (5.6)		24.5 (6.4)
Education (years)	10.9 (2.9)	10.7 (2.9)		12.2 (4.0)
BPRS	66.1 (17.4)	67.4 (16.8)	36.6 (9.0)	
SANS	52.3 (24.0)	52.4 (24.6)	28.9 (21.5)	
SAPS	37.7 (18.4)	37.6 (16.2)	9.0 (8.4)	
DUP (months)	11.2 (10.4)	11.1 (10.5)		
Range	1–48	1–48		

Data are given as mean (SD)

BPRS, brief psychiatric rating scale-expanded; SANS, scale for the assessment of negative symptoms; SAPS, scale for the assessment of positive symptoms; DUP, duration of untreated psychosis

defined duration of untreated psychosis (DUP) from the time of onset of first positive symptoms to the first hospitalization. First-episode and DUP were also defined in detail in our previous study [43]. Diagnosis of schizophrenia was confirmed, at the sixth month after discharge, with a reinterview using SCID. There were two left-handed subjects in the patient group and one in the control group.

Psychopathology was evaluated with Brief Psychiatric Rating Scale-Expanded (BPRS) [23], Scale for the Assessment of Positive Symptoms (SAPS) [5], and Scale for the Assessment of Negative Symptoms (SANS) [4]. All measures were collected by two trained raters. Inter-rater reliabilities for BPRS, SANS and SAPS total scores were acceptable ($\kappa = 0.78$, $\kappa = 0.76$, and $\kappa = 0.83$, respectively). Clinical measures of the patients are presented in Table 1.

Antipsychotic medications were given to control the acute excitation to the majority of patients before the initial recording (mean \pm SD duration of medication, 8.6 ± 4 days). Therefore, although all first-episode patients were neuroleptic-naïve when admitted to hospital, only 10 of them were still neuroleptic-naïve, whereas 5 patients were taking typical (mean haloperidol equivalent dose = 11.4 ± 2.7 mg) and 15 patients were taking atypical antipsychotics either risperidone ($n = 7$, mean \pm SD dose, 4.1 ± 0.7 mg/day) or olanzapine ($n = 6$, mean \pm SD dose, 16.7 ± 3.9 mg/day) or quetiapine ($n = 2$, mean dose = 600 mg/day) during the experiment.

During the post-acute testing five patients were taking typical (mean haloperidol equivalent dose = 6.5 ± 3 mg) and 16 patients were taking atypical antipsychotics either risperidone ($n = 8$, mean \pm SD dose, 4.1 ± 0.8 mg/day) or olanzapine ($n = 5$, mean \pm SD dose, 12.3 ± 1.9 mg/day) or quetiapine ($n = 3$, mean \pm SD dose, 630 ± 306 mg/day). All subjects gave written informed consent after procedures had been fully described. The study protocol was accepted and approved by the local Ethical Committee.

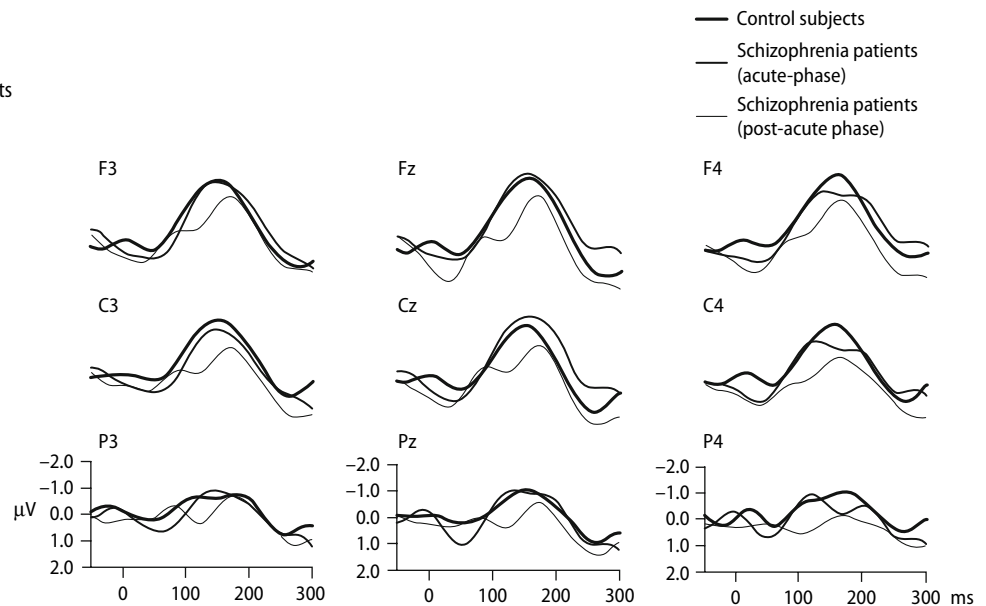
ERP testing

The ERPs were obtained during an auditory oddball paradigm. Oddball paradigm consisted of standard (1,000 Hz) and deviant (1,500 Hz) tones with respective probabilities of 0.8 and 0.2. All tones were 80 dB and 50 ms in duration. Four hundred tones were binaurally presented in a random series with the restriction that at least three standard tones preceded the deviant tones. The interstimulus interval was 1.5 s. Subjects were instructed to ignore the tones they would hear. We did not use a distracter task in order to engage subjects' attention away from the auditory stimuli since patients at the acute illness phase would be less able to comply with the 'attend' instruction leading to MMN differences between groups.

ERP recording

ERPs were recorded from 9 Ag-AgCl bridge electrodes placed at F3, Fz, F4, C3, Cz, C4, P3, Pz, P4 according to the 10–20 system in reference to linked earlobes. Electrooculogram (EOG) was recorded by

Fig. 1 Mismatch negativity difference waveforms in first-episode schizophrenia patients at the acute ($n = 30$) and post-acute phases ($n = 21$) and control subjects ($n = 34$)



two electrodes, placed just below the infraorbital ridge and above the eyebrow of the right eye. Electrode impedances were below 5 k Ω . The EEG was recorded with a band-pass filter of 0.5–70 Hz and digitised at 256 Hz.

Data analysis

Trials on which the EEG or EOG voltages exceeded ± 75 μ V were rejected automatically. Remaining trials were inspected visually for additional artifacts such as smaller EOG excursions and muscle activity. The artefact-free standard tones closest to the following deviant tones were selected with the restriction that they must be preceded by at least two standard tones. Averages were computed for the deviant and the standard tones. The minimum number of averaged trials was 40. The mean numbers of averaged deviants were 48 (SD = 8) and 51 (SD = 8) for patients during the acute and post-acute phase, respectively and 55 (SD = 9) for controls. The mean numbers of averaged standards were 55 (SD = 8) and 60 (SD = 7) for patients during the acute and post-acute phase, respectively and 62 (SD = 9) for controls. Difference waveforms were obtained by subtracting the standard waveforms from the deviant waveforms. These waveforms were digitally low-pass filtered at 15 Hz and baseline corrected to a prestimulus 250 ms. MMN was defined as the peak negativity within the 100–250 ms latency range. Peak amplitudes were measured.

Statistical analysis

MMN amplitudes were assessed with three main analyses of variance (ANOVA) repeated measures design. In the first and second analysis, group (acute patients vs. controls and post-acute patients vs. controls) was the between-subjects factor. There were two within-subjects factors which were anteroposterior regions (frontal: F3, Fz, F4, central: C3, Cz, C4 and parietal: P3, Pz, P4) and lateral regions (left: F3, C3, P3, midline: Fz, Cz, Pz and right: F4, C4, P4). In the third analysis, the change in MMN amplitude between acute and post-acute phase was assessed. Medication group (atypical vs. typical antipsychotic medication) was the between-subjects factor. There were three within-subject factors which were phase of illness (acute and post-acute phase), anteroposterior regions and lateral regions. The changes in clinical measures between acute phase and post-acute phase were also assessed by repeated measures ANOVAs with antipsychotic medication as between-subjects factor and phase of illness as within-subjects factor. Greenhouse-Geisser correction was applied

when factors had more than two levels, with only the corrected probability values reported. Difference contrasts were used to analyze the significant main and/or interaction effects.

Partial-correlation analysis was used to assess the relationships between MMN amplitude and clinical measures at acute and post-acute phases. DUP was included as a covariant since it was associated with BPRS ($r = 0.475$, $P = 0.030$) and SANS scores ($r = 0.497$, $P = 0.022$) at the post-acute phase. Relationships between percent changes in MMN amplitude and percent changes in clinical measures from acute (T1) to post-acute phase (T2) (percent changes = $((T2 - T1)/T1) \times 100$) was also evaluated. Using a Bonferroni correction, only two-tailed P -values below 0.0019 were considered significant for correlation coefficients.

Results

Patients vs. controls

MMN amplitude did not differ between the patients at acute phase and controls [$F(1, 62) = 0.002$, $P = \text{ns}$] (see Fig. 1 and Table 2). MMN amplitude was largest over the frontal sites and reduced posteriorly [$F(2, 124) = 21.68$, $P = 0.001$]. MMN amplitude was larger along the midline compared to the lateral sites [$F(2, 124) = 3.92$, $P = 0.025$]. MMN topography did not differ between groups [group \times anteroposterior site: $F(2, 124) = 0.60$, $P = \text{ns}$; group \times lateral site [$F(2, 124) = 1.10$, $P = \text{ns}$]. When the analysis was confined to the neuroleptic-naïve patients, no difference in MMN amplitude was observed compared to controls as well.

MMN amplitude was reduced in patients at post-acute phase compared to controls [$F(1, 53) = 4.62$, $P = 0.036$] (see Fig. 1 and Table 2). Both groups showed the largest MMN amplitude frontally and the smallest MMN amplitude parietally [$F(2, 106) = 22.55$, $P = 0.001$]. MMN topography did not differ between groups [group \times anteroposterior site: $F(2, 106) = 0.78$, $P = \text{ns}$; group \times lateral site [$F(2, 106) = 0.36$, $P = \text{ns}$].

Table 2 Mismatch negativity amplitudes

	Acute phase (<i>n</i> = 30)	Acute phase (<i>n</i> = 21)	Post-acute phase (<i>n</i> = 21)	Control (<i>n</i> = 34)
Fz	−3.9 (2.4)	−4.2 (2.3)	−3.0 (1.4)	−3.9 (2.8)
F3	−3.4 (2.3)	−3.5 (2.2)	−2.6 (1.6)	−3.6 (1.9)
F4	−3.6 (2.6)	−3.7 (2.6)	−2.7 (1.6)	−4.0 (2.9)
Cz	−3.8 (2.2)	−3.9 (2.2)	−2.4 (1.5)	−3.5 (2.1)
C3	−3.1 (1.8)	−3.2 (1.7)	−2.2 (1.2)	−3.5 (2.8)
C4	−3.2 (2.0)	−3.1 (1.9)	−2.2 (1.3)	−3.3 (2.4)
Pz	−2.9 (2.6)	−2.5 (1.9)	−1.8 (1.7)	−2.5 (2.1)
P3	−2.5 (1.8)	−2.3 (1.6)	−1.9 (1.3)	−2.4 (1.6)
P4	−2.5 (2.1)	−2.2 (1.6)	−1.5 (1.4)	−2.4 (1.8)

Data are given as mean (SD) microvolts

■ Change in clinical measures between acute and post-acute phase

BPRS total [$F(1, 19) = 37.12$, $P = 0.001$], SANS [$F(1, 19) = 9.96$, $P = 0.005$] and SAPS scores [$F(1, 19) = 32.78$, $P = 0.001$] were significantly lower at post-acute phase than acute phase (see Table 1). Typical and atypical antipsychotics did not differ in their effects on clinical measures.

■ Change in MMN amplitude between acute and post-acute phase

A reduction in MMN amplitude was observed in patients with FES at post-acute phase compared to acute phase [$F(1, 19) = 6.45$, $P = 0.02$] (see Fig. 1 and Table 2). Typical and atypical antipsychotics did not differ in their effects on MMN amplitude [medication \times phase of illness: $F(1, 19) = 0.51$, $P = \text{ns}$]. MMN amplitude was largest over the frontal sites and reduced posteriorly [$F(2, 124) = 21.68$, $P = 0.001$]. MMN topography did not differ between the acute and the post-acute phase [phase of illness \times antero-posterior site: $F(2, 38) = 1.00$, $P = \text{ns}$; phase of illness \times lateral site [$F(2, 38) = 1.14$, $P = \text{ns}$]. When the analysis was confined to the initially neuroleptic-naïve patients, again a reduction in MMN amplitude was observed at the post-acute phase compared to acute phase [$F(1, 6) = 6.19$, $P = 0.047$].

■ Relationship between MMN amplitude and clinical measures

There were no correlations between MMN amplitude and clinical severity measures both at acute and post-acute phases. We also did not find relations between percent changes in MMN amplitude and percent changes in clinical measures from acute to post-acute phase. There were no correlations between MMN amplitude and DUP at acute and post-acute phases. When we dichotomized the group by using the median of the DUP (9 months), no difference in MMN was found between patients having a longer and a shorter DUP.

Discussion

At the acute phase, patients with first-episode schizophrenia did not differ from the controls in MMN amplitude and topography. Our findings are consistent with previous FES studies in which normal frequency [35, 44] and duration MMN [44] responses were found. Therefore, our results support their suggestion that sensory memory functions indexed by MMN seem to be unaffected at the onset of schizophrenia.

On the other hand in contrast to our expectations during the post-acute phase when the symptoms improved, MMN was reduced compared to the acute phase and to the controls. MMN reduction at the post-acute phase might be resulted from several sources. First, retesting might have resulted in a reduction of MMN at the post-acute phase. However, several studies reported that the MMN amplitude showed significant test–retest reliability. Some studies [18, 42] found that frequency MMN was less replicable than duration MMN. However, Frodl-Bauch et al. [12] reported that the test–retest reliability of the frequency MMN was higher than the duration MMN. Most importantly, no significant differences were found in the MMN amplitudes across sessions [10, 18, 22, 37]. This means that systematic changes do not occur in MMN over short periods of time. Therefore, the reduction in MMN at the post-acute phase does not seem to be resulted from retesting. Second, MMN reduction may start to occur just after the first episode and that the MMN reduction at the post-acute phase reflects progression of schizophrenia. Umbricht et al. [44] evaluated MMNs of schizophrenia patients at their first-episode and later within a mean of 3.5 years after their first admission. A reduction in MMN was observed in recent-onset, but not in first-episode patients. Javitt et al. [17] also reported a marginal reduction in MMN in recent-onset schizophrenia patients who were within 3 years of their first episode. In the present study the mean interval between the tests at the first acute phase and the post-acute phase was 2.5 months. Considering the shortness of the inter-test interval it is hard to state that MMN reduction at the post-acute phase reflects progression of the disease. Additionally, in our sample DUP ranges widely from 1 to 48 months and was not related to MMN. This finding supports that the MMN reduction at the post-acute phase was not due to progressive pathophysiological processes. Third, the improvement in clinical state may be the reason for MMN reduction at the post-acute phase. In line with this paradoxical interpretation, Salisbury et al. [35] found that more pathological symptoms were associated with larger MMN activity in patients with FES. However, we did not find a relation between MMN amplitude and clinical severity measures in schizophrenia patients at acute and post-acute phases. Also

no association was observed between changes in MMN amplitudes and changes in clinical measures from acute to post-acute phase. Since MMNs and clinical measures were evaluated on two different occasions, their possible relations were more reliably tested than cross-sectional analysis. Therefore, it seems that MMN reduction in the post-acute phase could not be explained by the improvement in symptomatology. Fourth, antipsychotic treatment might have resulted in a reduction of MMN. Catts et al. [8] found that the reduction in MMN did not differ among chronic schizophrenia patients with or without antipsychotic medications. Furthermore, it was reported that switching from typical to atypical antipsychotics, clozapine [36, 45] and risperidone [46], did not affect the MMN amplitude in schizophrenia. In these mentioned studies chronic schizophrenia patients were evaluated. The effects of antipsychotics on MMNs of first-episode patients may be different from chronic patients. Therefore, the most probable explanation for the reduction in MMN at the post-acute phase might be the effects of antipsychotic treatment. However, our study design did not allow us to definitely conclude on this.

Shinozaki et al. [41] investigated MMN amplitudes in chronic schizophrenia patients also on two occasions, initially during acute exacerbation and later when the symptoms improved. In contrast to our findings, they reported mid-frontal MMN reduction not only in the post-acute phase but also in the acute phase of schizophrenia compared to controls. There was no difference in MMN amplitude between acute and post-acute phases. Shinozaki et al. [41] evaluated chronic schizophrenia patients who already showed a MMN reduction at the acute phase of the illness however, in the present study first-episode patients who had a normal MMN at the acute phase were evaluated. Kirino and Inoue [20] also studied MMN in schizophrenia patients before and after treatment and did not find a difference compared to controls. Only two thirds of their patients were during their first episodes and neuroleptic-naive. Hence, the study group was not a homogenous sample of FES (the mean number of episodes was 1.6 ± 0.9 , the mean duration of illness was 6.3 ± 7.5 years). Therefore, the controversy between the results of the mentioned studies and our study could be explained by the differences in patient groups.

Although the duration was very short, majority of the patients at the acute phase were taking antipsychotic medication which made it difficult to eliminate their possible effects on MMN. However, when we evaluated only the patients who were neuroleptic-naive, MMN amplitudes were again normal at the acute phase and were reduced at the post-acute phase. These findings show that short-term neuroleptic usage at the acute phase does not seem to have an effect on MMN. To our knowledge the present study is the first to report on the MMN in FES patients who

were neuroleptic-naive. Valkonen-Korhonen et al. [49] also reported normal MMN amplitude in acutely psychotic never-medicated first-episode patients. However, only approximately half of their patients were diagnosed as schizophreniform disorder or schizophrenia.

Several limitations about the present study should be noted. First, the control group was lack of the follow-up data which would have allowed the evaluation of retesting on MMN. Second, the mean numbers of averaged deviants and standards were approximately 50 and 60, respectively which are generally accepted as low to obtain a prominent MMN. However, we obtained prominent MMNs in patients and controls. In this study averaged waveforms for standards were computed by using the standard stimuli that were closest to the following deviants. This procedure might have increased the MMN in the difference waveform since Baldeweg et al. [6] demonstrated a slow positive shift to pre-deviant standards that increase in amplitude with the increase of the preceding standards. Excluding the standards immediately following the deviants from averaged response might also increased the MMN since these were not processed as true standards. Third, the probability of the deviant stimuli was high. It was reported that the degree of MMN reduction in schizophrenia was increased as deviant probability decreased [15, 39]. Therefore, the reason for not finding a difference between FES patients at the acute phase and controls might be our high deviant probability. However, studies using low probability deviants also reported normal MMN in FES [35, 44] and in the present study MMN elicited with the high probability deviant did not prevent observing a reduction in FES patients at the post-acute phase. Fourth, our ISI was 1.5 s which was pretty long. However, no differential effect of ISI on MMN generation was observed in schizophrenia patients compared to controls [15, 39]. Fifth, in this study MMN was elicited during passive ignore condition. Since the attention of the subjects was not controlled, we cannot be sure that they did not attend to the stimuli. Attended stimuli may elicit larger MMN amplitudes by the superimposition of N2b component. However, MMN was shown to be unaffected by task demands in many studies [2, 3, 9, 31, 33], with some exceptions [1, 21]. Naatanen et al. [29] showed that MMN to frequency change was unaffected by task demands although MMN to intensity change was attenuated in the absence of attention. More importantly, Kathmann et al. [18] compared a condition when subjects were required to direct their attention strongly to a visual task with a passive ignore condition setting no task demands and observed that the MMN amplitudes did not differ between the two conditions. On the other hand, if we had given a distracter task (visual target detection or reading task) to the subjects during MMN recording as in most of the MMN studies, pa-

tients at the acute phase would have been less able to comply with the 'attend' instruction. This time the variation in attention directed to the task would be a potential confound in group comparisons. Sixth, during the post-acute testing 16 patients were taking atypical and only 5 patients were taking typical antipsychotics. Since the typical antipsychotic group was small our results of no difference between the typical and atypical antipsychotics on MMN and symptomatology should be accepted cautiously. Moreover, our patients were taking three different kinds of atypicals. As atypical antipsychotics are not a homogenous group their effects on MMN may vary.

In summary, the sensory memory functions indexed by frequency MMN seem to be unaffected at the onset of schizophrenia but deteriorated during the post-acute illness phase. This study addresses the timely issue of when in the illness course MMN deficits are present in schizophrenia. MMN reduction in first-episode schizophrenia patients at the post-acute phase might be emerged from antipsychotic medication. Randomized studies which include placebo and different antipsychotics are needed to delineate the effects of antipsychotics on MMN in first-episode patients.

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